



SCHEME OF DELEGATION

Key points and summary

This document outlines:

- The requirements of the Articles of Association regarding delegation
- The principles of decision making within Kingsway Community Trust
- The scheme of delegation within Kingsway Community Trust
- The respective roles of the members, trustees, Local Governing Body and the Executive Headteacher

Approved by:

Strategic Board / Local Governing Body

Approved on:

Introduction and statement of intent

It is the Kingsway Community Trust's stated intention and preference that:

- The Board and Local Governing Bodies will work collaboratively and in partnership at all times.
- The Board will make decisions, following consultation with Local Governing Bodies, on matters which affect all schools in the trust: Local Governing Bodies will make decisions on matters which affect individual schools.
- Local Governing Bodies and Heads of Schools of schools in the trust should be as autonomous as stated in their delegation.
- The Board will put in place effective arrangements for monitoring and evaluating the performance of Local Governing Bodies and schools.
- The Board will take action, including the full or partial withdrawal of delegated authorities to individuals, groups and Local Governing Bodies should the individual, group, or Local Governing Body, fail to carry out their duties and responsibilities effectively.
- The governance arrangements of good schools joining the trust will be based on an earned autonomy model.
- The Board will consult Local Governing Bodies on proposals for the composition, membership, structure, and terms of reference for Local Governing Bodies, on arrangements for the recruitment and appointment of governors, and on arrangements for the introduction of the trust's multi-academy governance arrangements.
- The Board will consult Local Governing Bodies before putting in place any arrangements which directly affect Local Governing Bodies and individual schools and before taking any decisions on policy or procedural matters.

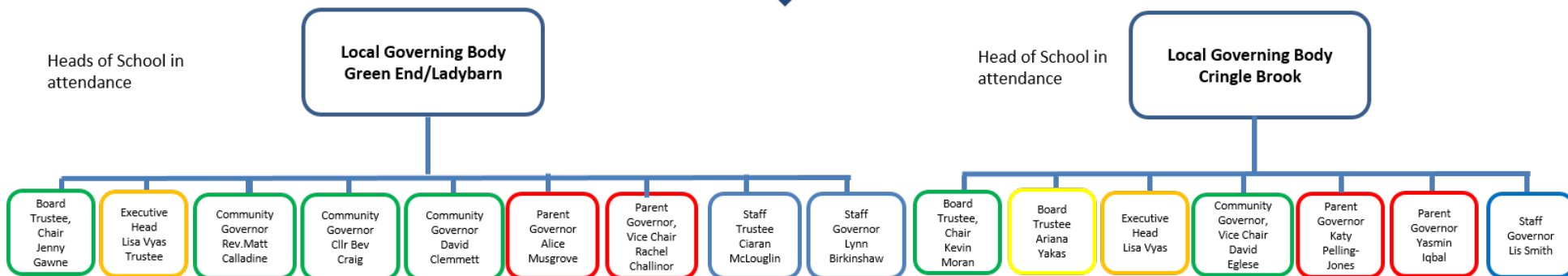
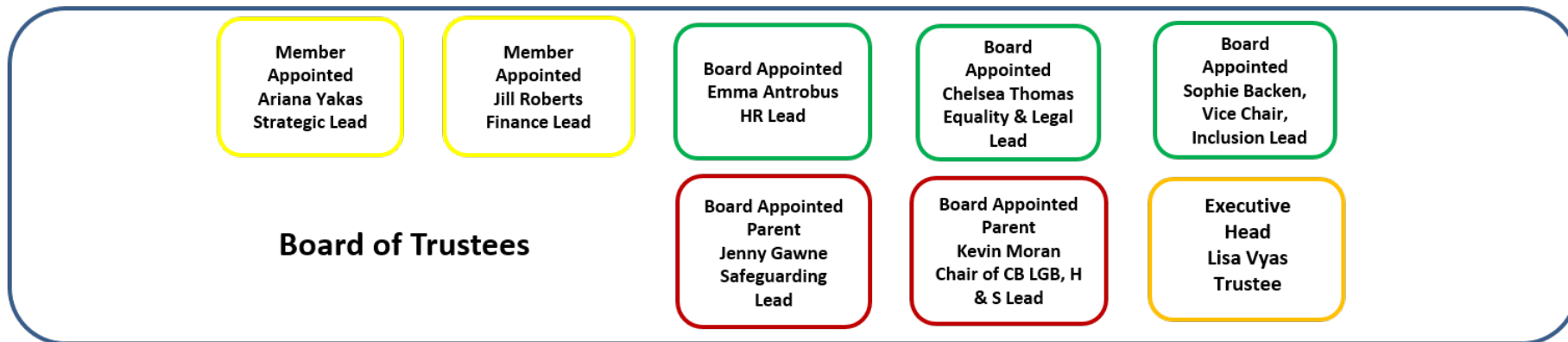
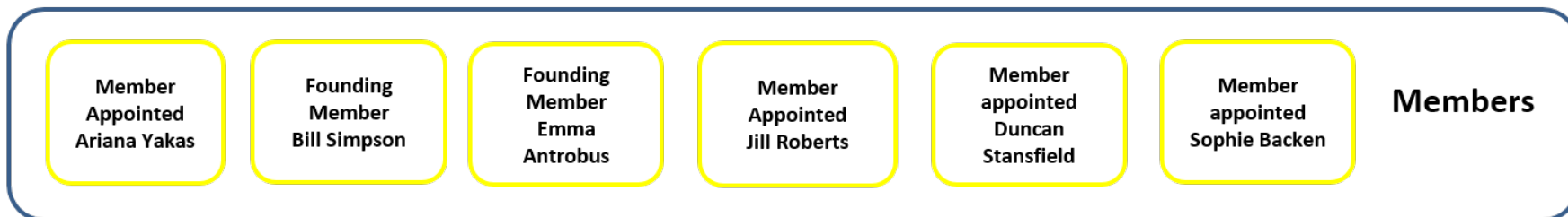
Local Governing Bodies will report regularly and frequently to the Board and will make available all relevant data and information on performance.

Appendices

Appendix 1: Decision matrix



Kingsway Community Trust Approved Governance Model January 2020



Appendix 1 - Decision Matrix

Key	
Level 1	Members
Level 2	Board of Trustees of MAT
Level 2a	Chair of Trustees
Level 3	Vice Chair
Level 4	Committee with delegation from Trust Board (Pay/Finance/Discipline) (CwD)
Level 5	Executive Headteacher (EHT)
Level 6	Local Governing Body Committee (LGB)
Level 7	Head of School (HOS)
R	Ratified

	Decision	Delegation							
		Members	Trust Board	Chair	Vice Chair	CwD	EHT	LGB	HOS
Governance framework									
People									
1	Members: Appoint/Remove	✓							
2	Trustees: Appoint/Remove	✓							
3	Role descriptions for members	✓							
4	Role descriptions for trustees/chair/ specific roles/committee members: agree		✓						
5	Parent trustee/committee member: elected		✓						
6	Committee chairs: appoint and remove		✓						
7	LGC chairs: appoint and remove		✓						
8	Clerk to board: appoint and remove		✓						
9	Clerk to LGB: appoint and remove		✓						
Systems & Structures									
10	Articles of association: agree and review	✓							
11	Governance structure (committees) for the trust: establish and review annually		✓						
12	Terms of reference for trust committees (including audit if required, and scheme for school committees): agree annually		✓						
13	Terms of reference for LGB/local committees: Draw up							✓	
14	Terms of reference for LGB/local committees: agree and review annually		✓						
15	Chairs Actions - The Chair of Trustees has delegated power to make decisions on any matter where delay is likely to be seriously detrimental to: the school, a pupil or prospective pupil at the school, a pupil's carer(s) and/or family, a person who works at the school, public welfare, the prevention or detection of crime, the capture or prosecution of offenders			✓					
	Skills audit: complete and recruit to fill gaps		✓					✓	

	Decision	Delegation							
		Members	Trust Board	Chair	Vice Chair	CwD	EHT	LGB	HOS
16	Annual self-review of trust board and committee		✓						
17	Annual self-review of LGB performance: complete annually							✓	
18	Chair's performance: carry out 360 review periodically		✓						
19	Trustee / committee member contribution: review annually		✓						
20	Succession planning for school leadership team		✓						
21	Annual schedule of business for trust board: agree		✓						
22	Annual schedule of business for LGB: agree		✓					✓	
23	To establish a performance management policy and pay reviews (in line with the Trust's pay policy and all statutory regulations)		R			✓			
24	To implement the performance management policy and pay reviews		R			✓			
25	To review annually the performance management policy and pay reviews		R			✓			
26	To set an admissions policy in accordance with statutory regulations and EFA criteria		✓						
27	To appeal against directions to admit pupil(s)		✓						
28	To decide on a discipline policy		R			✓			
29	To establish a complaints procedure including Chair of Governors hearing a complaint		✓						
30	To exclude a pupil for one or more fixed terms (not exceeding 45 days in total in a year) or permanently						✓		
31	To review the use of exclusion					✓			
32	To direct reinstatement of excluded pupil					✓			
33	To institute health and safety policy		✓				✓		
34	School lunch – ensure provided to appropriate nutritional standard		✓				✓		

	Decision	Delegation							
		Members	Trust Board	Chair	Vice Chair	CwD	EHT	LGB	HOS
Reporting									
35	Trust governance details on trust and academies' websites:				√				
36	School governance details on academy website: ensure		√					√	
37	Register of all interests, business, pecuniary, loyalty for members/trustees/ committee members: establish and publish		√					√	
38	Annual report on performance of the trust: submit to members and publish		√						
39	Annual report and accounts including accounting policies, signed statement on regularity, propriety and compliance, incorporating governance statement demonstrating value for money: submit		√						
40	To provide governors within year progress data						√		√
41	To provide governors with reports on quality of teaching and						√		√
42	To provide parent with communication on key aspects of school including pupil progress, attainment, curriculum and school events and activities								√
43	To prepare and publish school website and prospectus in accordance to Ofsted criteria						√		√
Being Strategic									
44	Determine trust wide policies which reflect the trust's ethos and values (facilitating discussions with unions where appropriate) including: safeguarding, SEND, admissions; charging and remissions; complaints; expenses; health and safety, premises management; data protection and FOI; staffing policies including capability, discipline, conduct and grievance: approve		√						

	Decision	Delegation								
		Members	Trust Board	Chair	Vice Chair	CwD	EHT	LGB	HOS	
45	Determine school level policies which reflect the school's ethos and values to include e.g. teaching & learning, pupil attendance; curriculum; behaviour: approve		R					√		
46	Central spend / top slice: agree		√							
47	To consider an application from another school to join the Trust		√				√			
48	To ensure that due diligence is carried out on a potential new school for the Trust.		√							
49	Management of risk: establish register, review and monitor		√			√				
50	Trust's vision and strategy, agreeing key priorities and key performance indicators (KPIs) against which progress towards achieving the vision can be measured: determine		√							
51	Schools vision and strategy, agreeing key priorities and key performance indicators (KPIs) against which progress towards achieving the vision can be measured: determine						√		√	
52	Training programme for Directors and Governors		√							
53	To draft School (post-Ofsted) Action Plan and distribute to parents						√		√	
54	To decide any changes in school session times, including Term Dates and length of school day		√							
55	To establish an estate management plan with 5 year overview		√				√			
Staffing										
56	EHT: Appoint and dismiss		√							
57	HOS : Appoint and dismiss		√							
58	DHT: Appoint and dismiss		√							
59	AHT: Appoint and dismiss		√							
60	Trust's staffing structure: agree		R			√	√			
61	School staffing structure: agree		R	8		√				
62	To establish annual Teachers Pay policy		R			√				

	Decision	Delegation							
		Members	Trust Board	Chair	Vice Chair	CwD	EHT	LGB	HOS
63	To certify all expense claims above the authorized level in the scheme of delegation made by the EHT		√			√			
64	To select teachers for appointment						√		√
65	To select senior non-teaching staff for appointment and Trust wide staff		√						
66	To establish a policy for special leave of absence		R				√		
67	To approve requests for special leave of absence, with or without pay within the Trust boards policy						√		
68	To authorise release for training, conferences or other school business – including the HOS						√		√
69	To approve timing of annual leave for certain non-teaching						√		√
70	To authorise time off for public and trade union duties						√		√
71	To establish and adopt, discipline, capability and grievance					√			
72	To initiate formal disciplinary proceedings against an employee						√		
73	To conduct a first or second stage disciplinary hearing					√		√	
74	To hear any grievance brought by an employee at the first stage					√			
75	To suspend an employee from work subject to informing the chair of the Board						√		
76	To lift a disciplinary suspension imposed on an employee						√		
77	Suspend or dismiss the EHT / HOS		√			√			
78	To determine that an employee shall cease to work at school (must act through staff dismissal committee)					√			
79	To hear an appeal against dismissal (must act through dismissal appeal committee)					√			
80	To grant early retirement within the scope of regulations					√			

	Decision	Delegation							
		Members	Trust Board	Chair	Vice Chair	CwD	EHT	LGB	HOS
81	To agree the level of premature retirement and/or redundancy compensation over and above that set out in the LAs guidance		R				√		
82	To determine the existence of a redundancy situation		√						
83	To undertake local consultation with school representatives of all recognised trade unions		√				√		
Holding to account									
84	Auditing and reporting arrangements for matters of compliance (eg safeguarding, H&S, employment): agree		√						
85	Performance management of the Executive HT: undertake					√			
86	Performance management of Heads of School : undertake						√		
Ensuring Financial Probity									
87	Compliance: Funding Agreement – comply with all obligations including the Academies Financial Handbook		√						
88	Trust's scheme of financial delegation: establish and review		√			√			
89	External auditors' report: receive and respond		√			√			
90	EHT pay award: agree					√			
91	HOS pay award: agree					√			
92	Staff appraisal procedure and pay progression: monitor and agree					√			
93	Benchmarking and trust wide value for money: ensure		√			√			
94	Benchmarking and school value for money: ensure robustness					R		√	
95	Develop trust wide procurement strategies and efficiency					√			
96	To approve first formal Trust budget each financial year		√						
97	To prepare budget monitoring reports and to ensure that financial information is circulated in advance of termly						√		√
98	To review termly budget position statement – including a statement of any budget virement decisions made by the EHT				10		√		

	Decision	Delegation								
		Members	Trust Board	Chair	Vice Chair	CwD	EHT	LGB	HOS	
99	To report to Finance, Risk & Audit committee on actions taken in response to any audits undertaken						✓			
100	To investigate any financial irregularities NB the Trust strongly recommends that where schools have any suspicion of financial irregularities, internal audit are informed and asked to carry out an investigation on behalf of the school.		✓							
101	To authorise opening of any bank account					✓				
102	To write off debts above £100					✓				
103	To ensure compliance with EFA financial regulations on seeking competitive quotations and tenders					✓				
104	To set a charging and remissions policy					✓				
105	To ensure pupil premium grant is spent appropriately		✓					✓		
106	Investments – agreeing the investment policy in line with the Academies Financial Handbook and any internal policies and		✓							
107	Arranging insurance for the Trust – to include buildings and						✓			
109	Establishing and maintaining asset registers in accordance with financial procedures						✓			
110	Ensuring that any disposal of assets complies with the financial procedures						✓			
111	Establishing and maintaining procedures for effective audit in accordance with legal and DfE requirements						✓			
112	Acquiring and disposing of Trust land		✓							
113	Changing use of assets					✓				
Education and Curriculum										
114	Approve Trust/short term improvement plans for each school in line with the strategic aims of the Trust		✓						✓	
115	Key Performance Indicators (Standards and Targets) – reviewing performance of the Trust and each School		✓				✓	✓		

	Decision	Delegation							
		Members	Trust Board	Chair	Vice Chair	CwD	EHT	LGB	HOS
116	Approving the Curriculum for the Schools and ensure broad, balanced, inclusive and robust Curriculum taught to all pupils		✓					✓	
117	Quality of Teaching – ensuring appropriate levels of support, challenge, and intervention to support delivery of education outcomes					✓		✓	✓
118	Provision of sex education - establish and keep up to date a written policy					✓		✓	✓
119	To establish British values and to prohibit political indoctrination and ensuring the balanced treatment of political issues					✓		✓	✓
120	Responsibility for ensuring provision of RE in line with school's basic curriculum (all schools)					✓		✓	✓
121	Arrangements for collective worship (schools without religious character) EHT must consult Trust Board					✓		✓	✓